

Form PTO-1594

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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**RECORDATION FORM COVER SHEET  
TRADEMARKS ONLY**U.S. DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Fillnet Corporation

- ☐ Individual(s)      ☐ Association  
☐ General Partnership      ☐ Limited Partnership  
☒ Corporation-State  
☐ Other \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☐ Assignment      ☐ Merger  
☒ Security Agreement      ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 08/13/2002

## 2. Name and address of receiving party(ies)

Name: Canaan Equity II, L.P.

Internal

Address: \_\_\_\_\_

Street Address: 2884 Sand Hill Rd., Ste. 115

City: Menlo Park State: CA Zip: 94025

- ☐ Individual(s) citizenship \_\_\_\_\_  
☐ Association \_\_\_\_\_  
☐ General Partnership \_\_\_\_\_  
☒ Limited Partnership \_\_\_\_\_  
☐ Corporation-State \_\_\_\_\_  
☐ Other \_\_\_\_\_

If assignee is not domiciled in the United States, a domestic representative designation is attached: ☐ Yes ☒ No

(Designations must be a separate document from assignment)

Additional name(s) & address(es) attached? ☒ Yes ☐ No

## 4. Application number(s) or registration number(s):

A. Trademark Application No.(s) 75673908; 76114006

B. Trademark Registration No.(s) 2488417

Additional number(s) attached ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Soohyun S. Lee

Internal Address: \_\_\_\_\_

Direct Fax: 650-493-6811

Direct Phone: 650-461-6719

Street Address: 650 Page Mill Road

City: Palo Alto State: CA Zip: 94304

## 6. Total number of applications and registrations involved: \_\_\_\_\_

3

7. Total fee (37 CFR 3.41).....\$ 90.00

- ☐ Enclosed  
☒ Authorized to be charged to deposit account

## 8. Deposit account number:

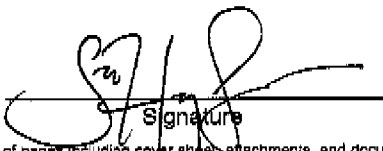
23-2415 (Ref #: 17578.029)

**DO NOT USE THIS SPACE**

## 9. Signature.

Soohyun S. Lee

Name of Person Signing


 Signature

8/16/02

Date

Total number of pages including cover sheet, attachments, and document: 8

 Mail documents to be recorded with required cover sheet information to:  
 Commissioner of Patent & Trademarks, Box Assignments  
 Washington, D.C. 20231

**Attachment to  
Recordation Form Cover Sheet  
Trademarks Only**

**2. Name and address of receiving parties (continued from cover sheet):**

Canaan Equity II, L.P. (QP)  
2884 Sand Hill Road, Suite 115  
Menlo Park, CA 94025

Canaan Equity II Entrepreneurs, LLC  
2884 Sand Hill Road, Suite 115  
Menlo Park, CA 94025

Weiss, Peck & Greer Venture Associates IV  
Cayman, L.P.  
2200 Sand Hill Road  
Menlo Park, CA 94025

Weiss, Peck & Greer Venture Associates IV, L.L.C.  
2200 Sand Hill Road  
Menlo Park, CA 94025

WPG Enterprise Fund III, L.L.C.  
2200 Sand Hill Road  
Menlo Park, CA 94025

WPG Information Sciences Entrepreneur Fund, L.P.  
2200 Sand Hill Road  
Menlo Park, CA 94025

## GRANT OF SECURITY INTEREST

### TRADEMARKS

THIS GRANT OF SECURITY INTEREST, dated as of August 13, 2002, is executed by **FILANET CORPORATION**, a California corporation ("Debtor"), in favor of Collateral Agent (as defined in the Security Agreement referenced below, "Collateral Agent") on behalf of the Investors (as defined in the Security Agreement referenced below).

A. Reference is made to a Security Agreement, dated as of August 13, 2002 (the "Security Agreement"), by and between Collateral Agent, Filanet Corporation and the Investors listed therein.

B. Debtor has adopted, used and is using the trademarks, more particularly described on Schedules 1-A and 1-B annexed hereto as part hereof, which trademarks are registered or subject to an application for registration in the United States Patent and Trademark Office (collectively, the "Trademarks");

C. Schedules 1-A and 1-B hereof constitute a complete list, as of the date hereof, of registrations or applications for registrations of Trademarks in or to which Debtor has any right, title, interest, claim or demand. After the date of the Security Agreement, the terms and provisions of which are hereby incorporated herein as if fully set forth herein, Debtor shall provide written notice to Collateral Agent, in accordance with the provisions of the Security Agreement, of any addition or change which is necessary to be made to Schedules 1-A and 1-B in order to maintain such schedules' completeness or accuracy, and, further, Debtor shall provide such notice to Collateral Agent within a reasonable period of time following the date of the event that is the basis for such addition or change, but in no case later than sixty (60) days following the date of such event;

D. Debtor hereby grants to Collateral Agent a security interest in all right, title and interest of Debtor in and to the Trademarks, together with the goodwill of the business symbolized by the Trademarks and the customer lists and records related to the Trademarks and the applications and registrations thereof, and all proceeds thereof, including any and all causes of action which may exist by reason of infringement thereof (the "Collateral"), to secure the payment, performance and observance of the Obligations, as defined in the Security Agreement;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Debtor does hereby further grant to Collateral Agent a security interest in the Collateral to secure the prompt payment, performance and observance of the Obligations.

Debtor does hereby further acknowledge and affirm that the rights and remedies of Collateral Agent with respect to the security interest in the Collateral granted hereby are more fully set forth in the Security Agreement.

Collateral Agent's address is:

Canaan Equity II, L.P.  
2884 Sand Hill Road, Suite 115  
Menlo Park, CA 94025  
Attn: Eric Young  
Telephone: 650-854-8092  
Facsimile: 650-854-8127

with a copy to:

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
Attn: Steve Bochner  
Telephone: 650-493-9300  
Facsimile: 650-493-6811

*[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]*

IN WITNESS WHEREOF, Debtor has caused this instrument to be executed as of the day and year first above written.

FILANET CORPORATION

By: Alexander "Skip" Glass  
Name: Alexander "Skip" Glass  
Title: CEO

**Certificate of Acknowledgment**

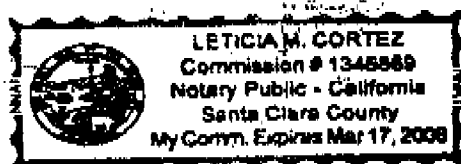
STATE OF CALIFORNIA)

COUNTY OF Santa Clara )

On August 12, 2002 before me, Leticia M. Cortez, Notary Public of the State of California, personally appeared "Skip" Glass aka Alexander personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is are subscribed to the within instrument and acknowledged to me that he she/they executed the same in his her/their authorized capacity(ies), and that by his her/their signature(s) on such instrument the person or entity on behalf of which the person(s) acted executed the instrument.

WITNESS my hand and official seal.

Signature Leticia M. Cortez (Seal)



**SCHEDULE 1-A TO GRANT OF SECURITY INTEREST****TRADEMARKS**

<b><u>Mark</u></b>	<b><u>Registration Date</u></b>	<b><u>Registration No.</u></b>
INTERJAK	August 22, 2000	109602001 (HK)
INTERJAK	July 25, 2001	706871 (MX)
INTERJAK	March 7, 2002	213.527 (NO)
DOUBLE ARROW F design	September 11, 2001	2488417 (US)
DOUBLE ARROW F design	March 30, 2001	692924
DOUBLE ARROW F design	December 18, 2000	001334143 (EU)
DOUBLE ARROW F design	December 18, 2000	001334143 (EU)

**SCHEDULE I-B TO GRANT OF SECURITY INTEREST****TRADEMARK APPLICATIONS**

<b><u>Mark</u></b>	<b><u>Application Date</u></b>	<b><u>Application No.</u></b>
FILANET	April 5, 1999	75673908 (US)
FILANET & design	November 10, 2000	823385949 (BR)
FILANET & design	November 7, 2000	001956036 (EU)
FILANET & design	November 9, 2000	457221 (MX)
FILANET	December 2, 1999	001334077 (EU)
INTERJAK	February 22, 2001	109377400 (CA)
INTERJAK	February 22, 2001	2001023170 (CN)
INTERJAK	August 22, 2000	76114006 (US)
INTERJAK	February 22, 2001	2114544 (EU)

**EXCEPTIONS TO SCHEDULE I****1. Trademarks**

The Company has advised the Collateral Agent and the Investors that its applications for registration of the marks "FILANET", "FILANET (and design)" and "INTERJAK" are subject to actual and threatened oppositions and litigation as follows:

**A. FileNET Trademark Oppositions**

1. On June 20, 2001, FileNET Corporation ("FileNET") filed a Notice of Opposition with the U.S. Trademark Trial and Appeal Board, opposing Filanet's application to register the trademark "FILANET" (U.S. Serial Number 75/672,908). Counsel for Filanet Corporation has filed an Answer.
2. On November 20, 2001, counsel for Filanet Corporation received notice that FileNET Corporation filed an Opposition with the European Community Office of Harmonization of Internal Markets ("OHIM") opposing Filanet's application to register the trademark "FILANET & Design" (Application Number 1956036). There are currently no deadlines set to respond.
3. On July 2, FILANET received FileNET's offer to pay \$75,000 to Filanet in exchange for Filanet abandoning its Filanet trademark applications and stopping all use of the mark and corporate name. FILANET has accepted this offer and the respective documents are being drafted.

**B. Fila Sport Trademark Oppositions and related litigation:**

1. On August 15, 2001, Fila Sport S.p.A. ("FILA") filed a Notice of Opposition with the U.S. Trademark Trial and Appeal Board, opposing Filanet's application to register the mark "FILANET" (U.S. Serial Number 75,673,908). Counsel for Filanet Corporation has filed an Answer.
2. On November 27, 2001, counsel for Filanet Corporation received notice that Fila Sport S.p.A. and Fila Nederland B.V. filed Oppositions with the European Community OHIM, opposing Filanet's application to register the mark "FILANET & Design" (Application Number 1956036). There are currently no deadlines set to respond.
3. On January 16, 2002, Filanet Corporation filed an Opposition with the European Community OHIM, opposing Fila Sport's application to register the mark "FILANET" (application number 2135960). There are currently no deadlines set to respond.

4. On June 11, 2002, Fila Sport S.p.A filed suit against Filanet Corporation in the United States District Court for the Southern District of New York, Case No. 02-CV-4387(MGC), alleging trademark dilution under federal and state law and seeking cancellation of FILANET's U.S. Trademark Registration No. 2,488,417. Filanet's answer is due August 1, 2002.
5. The parties are currently engaged in settlement discussions for a global settlement of all of the above trademark-related matters. FILANET has made an offer for settlement to FILA on or about July 15, 2002. FILA's response to the offer is pending.

C. Ramirez Perez Opposition:

On February 27, 2002, counsel for Filanet Corporation received notice that Ramirez Perez filed an Opposition with the European Community OHIM, opposing Filanet Corporation's application to register the mark "INTERJAK" (Application Number 2114644). There are currently no deadlines set to respond, and the parties are exploring settlement options.



**RUSH**Wilson Sonsini Goodrich & Rosati  
PROFESSIONAL CORPORATION

Date: August 27, 2002

To: Assignment Services Division

Fax: 703-306-5995

☐ Use this fax  
number only

Company: USPTO, CIO

Phone: 703-308-9723

☐ Notify recipient  
before sending

From: Soohyun S. Lee

Phone: 650-461-6719

Return Fax: 650-493-6811

Original: ☐ To follow via mail ☐ To follow via courier ☐ To follow via email ☒ Original will not followFax Contains: 12 pages (including this sheet). If incomplete, call 650-461-6719.**Message:**

Ladies and Gentlemen:

On page ~~24~~ of this fax, please find the correspondence and the original recordation form cover sheet for TRADEMARKS which was originally submitted to your office on August 20, 2002, and subsequently rejected. Per the instructions in the correspondence and per the conversation that I had with Ms. Regina White of your office, I am resubmitting the revised recordation form cover sheet for TRADEMARKS (attached hereto on pages 5-12); please record this revised filing with your office at your earliest convenience.

I'd greatly appreciate an acknowledgement of this filing via fax at 650-493-6811 when it has been recorded. If you have any questions or comments regarding the filing, please feel free to contact me at 650-461-6719.

Sincerely,

Soohyun Lee

Ref: 17578.029

Return Original to: S. Lee

Location: 1117-2B

10-31-2002 12:02PM

650 Page Mill Road, Palo Alto, CA 94304-1050 • 650.493.9300 Tel • 650.493.6811 Fax • www.wsgsr.com

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TRADEMARK

002 12:35 FAX 703 308 7124

USPTO ASSIGNMENT DIV.

002



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

August 20, 2002

Submitter

**United States Patent and Trademark Office**  
**Notice of Document Faxed Upside Down**

Your request to record a document in the United States Patent and Trademark Office was received via electronic fax on August 20, 2002, at 11:10 AM.

The faxed submission was received upside down. We are unable to continue processing these images.

Please resubmit your document.

If you have any questions, you may contact our customer service center at 703-308-9723.

Office of Public Records  
Assignment Division

2:35 FAX 703 308 7124

USPTO ASSIGNMENT DIV.

003

**Attachment to  
Recordation Form Cover Sheet  
Trademarks Only**

**2. Name and address of receiving parties (continued from cover sheet):**

Canaan Equity II, L.P. (QP)  
2884 Sand Hill Road, Suite 115  
Menlo Park, CA 94025

Weiss, Peck & Greer Venture Associates IV, L.L.C.  
2200 Sand Hill Road  
Menlo Park, CA 94025

Canaan Equity II Entrepreneurs, LLC  
2884 Sand Hill Road, Suite 115  
Menlo Park, CA 94025

WPG Enterprise Fund III, L.L.C.  
2200 Sand Hill Road  
Menlo Park, CA 94025

Weiss, Peck & Greer Venture Associates IV  
Cayman, L.P.  
2200 Sand Hill Road  
Menlo Park, CA 94025

WPG Information Sciences Entrepreneur Fund, L.P.  
2200 Sand Hill Road  
Menlo Park, CA 94025

**4. Application number(s) or registration number(s) (continued from cover sheet):****A. Trademark Application No.(s):**

001334007 (EU)  
109377400 (CA)  
2001023170 (CN) ✓  
76114006 (US) ✓  
2114344 (EU)

**B. Trademark Registration No.(s):**

692924 ✓  
001334143 (EU)  
001334143 (EU)

Form PTO-1594 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002) Tab settings		RECORDATION FORM COVER SHEET TRADEMARKS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies):  Filenet Corporation  <input type="checkbox"/> Individual(s) <input type="checkbox"/> General Partnership <input checked="" type="checkbox"/> Corporation-State <input type="checkbox"/> Other  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>Canaan Equity II, L.P.</u> Internal Address:  Street Address: <u>2884 Sand Hill Rd., Ste. 115</u> City: <u>Menlo Park</u> State: <u>CA</u> Zip: <u>94025</u>  <input type="checkbox"/> Individual(s) citizenship <input type="checkbox"/> Association <input type="checkbox"/> General Partnership <input checked="" type="checkbox"/> Limited Partnership <input type="checkbox"/> Corporation-State <input type="checkbox"/> Other  If assignee is not domiciled in the United States, a domestic representative designation is attached: <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (Designations must be a separate document from assignment) Additional name(s) & address(es) attached? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
3. Nature of conveyance:  <input type="checkbox"/> Assignment <input checked="" type="checkbox"/> Security Agreement <input type="checkbox"/> Other  Execution Date: <u>05/13/2002</u>		4. Application number(s) or registration number(s): A. Trademark Application No.(s) <u>75673908 (US);</u> <u>823385949(BR); 001956036(EU); 457221(MX)</u>  Additional number(s) attached <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No B. Trademark Registration No.(s) <u>109602001 (HK);</u> <u>706871(MX); 213.527(NO); 2488417(US)</u>			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Soohyun S. Lee</u>  Internal Address:  Direct Fax: <u>650-845-5000</u>  Direct Phone: <u>650-481-6710</u>  Street Address: <u>850 Page Mill Road</u>  City: <u>Palo Alto</u> State: <u>CA</u> Zip: <u>94304</u>		6. Total number of applications and registrations involved: <u>18</u>  7. Total fee (37 CFR 3.41).....\$ <u>415.00</u>  <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account  8. Deposit account number: <u>23-2415 (Ref #: 17578.020)</u>			
9. Signature.  <u>Soohyun S. Lee</u> <u>8/16/02</u> Name of Person Signing Signature Date  Total number of pages (including cover sheet, assignment, and document) <u>1</u> Mail documents to be recorded with required cover sheet information to: Commissioner of Patent & Trademarks, Box Assignments Washington, D.C. 20231					

**THE REVISED RECORDATION FORM COVER SHEET FOR  
TRADEMARKS (WITH ATTACHMENTS)  
FOLLOWS THIS SHEET**